# Lecture 4: Bi-Cohen-Macaulay graphs 

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## Basis properties of bi-CM graphs

Let $G$ be a finite simple graph on the vertex set [ $n$ ]. We fix a field $K$ and let $I(G) \subset K\left[x_{1}, \ldots, x_{n}\right]$ its edge ideal.

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According Fløystad and Vatne, a squarefree monomial ideal $I \subset S$ is called bi-Cohen-Macaulay (or simply bi-CM) if $I$ as well as its Alexander dual $I^{\vee}$ of $I$ is a Cohen-Macaulay ideal. A graph $G$ is called Cohen-Macaulay or bi-Cohen-Macaulay (over K)(CM or bi-CM for short), if $I(G)$ is CM or bi-CM.

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One important result regarding the Alexander dual that we will frequently use, is the Eagon-Reiner theorem which says that $l$ is a Cohen-Macaulay ideal if and only if $I^{\vee}$ has a linear resolution.
Thus the Eagon-Reiner theorem implies that $I$ is bi-CM if and only if $I$ is a Cohen-Macaulay ideal with linear resolution.

From this description it follows that a bi-CM graph is connected. Indeed, if this is not the case, then there are induced subgraphs $G_{1}, G_{2} \subset G$ such that $V(G)$ is the disjoint union of $V\left(G_{1}\right)$ and $V\left(G_{2}\right)$. It follows that $I(G)=I\left(G_{1}\right)+I\left(G_{2}\right)$, and the ideals $I\left(G_{1}\right)$ and $I\left(G_{2}\right)$ are ideals in a different set of variables.

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A subset $C \subset[n]$ is called a vertex cover of $G$ if $C \cap\{i, j\} \neq \emptyset$ for all edges $\{i, j\}$ of $G$. The graph $G$ is called unmixed if all minimal vertex covers of $G$ have the same cardinality.

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Let $C \subset[n]$. Then the monomial prime ideal $P_{C}=\left(\left\{x_{i}: i \in C\right\}\right)$ is a minimal prime ideal of $I(G)$ if and only if $C$ is a minimal vertex cover of $G$. Thus $G$ is unmixed if and only if $I(G)$ is unmixed in the algebraic sense.

A subset $D \subset[n]$ is called an independent set of $G$ if $D$ contains no set $\{i, j\}$ which is an edge of $G$. Note that $D$ is an independent set of $G$ if and only if $[n] \backslash D$ is a vertex cover. Thus the minimal vertex covers of $G$ correspond to the maximal independent sets of G .

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Proposition. Let $G$ be a graph on the vertex set [ $n$ ] with independence number $c$. The following conditions are equivalent:

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Proposition. Let $G$ be a graph on the vertex set [ $n$ ] with independence number $c$. The following conditions are equivalent:
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(a) $G$ is a bi-CM graph over $K$;
(b) $G$ is a $C M$ graph over $K$ and $|E(G)|=\binom{n-c+1}{2}$;
(c) $G$ is a CM graph over $K$ and the number of minimal vertex covers of $G$ is equal to $n-c+1$;
(d) $\beta_{i}\left(I_{G}\right)=(i+1)\binom{n-c+1}{i+2}$ for $i=0, \ldots, n-c-1$.

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(a) $\Leftrightarrow(b)$ : We divide $S / I(G)$ by a maximal regular sequence of linear forms to obtain $T / J$, where $J$ is generated in degree 2 and $\operatorname{dim} T / J=0$. Now $I(G)$ has a linear resolution if and only if $J$ has a linear resolution, and this is the case if and only if $J=\mathfrak{m}_{T}^{2}$. Thus $G$ is bi-CM if and only if the number of generators of $J$ is equal to $\binom{n-c+1}{2}$. Since $I_{G}$ and $J$ have the same number of generators and since the number of generators of $I_{G}$ is equal to $|E(G)|$, the assertion follows.

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(b) $\Leftrightarrow$ (c): Since $S / I_{G}$ is Cohen-Macaulay, the multiplicity of $S / I_{G}$ is equal to the length $\ell(T / J)$ of $T / J$. On the other hand, the multiplicity is also the number of minimal prime ideals of $I_{G}$ which coincides with the number of minimal vertex covers of $G$. Thus the length of $T / J$ is equal to the number of minimal vertex covers of $G$. Since $J=\mathfrak{m}_{T}^{2}$ if and only if $\ell(T / J)=n-c+1$, the assertion follows.
$(\mathrm{a}) \Rightarrow(\mathrm{d})$ : Note that $\beta_{i}\left(I_{G}\right)=\beta_{i}(J)$ for all $i$. Since $J$ is isomorphic to the ideal of 2-minors of the matrix

$$
\left(\begin{array}{ccccc}
y_{1} & y_{2} & \cdots & y_{n-c} & 0 \\
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$(\mathrm{d}) \Rightarrow(\mathrm{a})$ : It follows from the description of the Betti numbers of $I_{G}$ that proj $\operatorname{dim} S / I_{G}=n-c$. Thus, depth $S / I_{G}=c$. Since $\operatorname{dim} S / I_{G}=c$, it follows that $I_{G}$ is a Cohen-Macaulay ideal. Since $|E(G)|=\beta_{0}\left(I_{G}\right)=\binom{n-c+1}{2}$, condition (b) is satisfied, and hence $G$ is $\mathrm{bi}-\mathrm{CM}$, as desired. $\square$

## The classification of bipartite and chordal bi-CM graphs

Theorem. Let $G$ be a bipartite graph on the vertex set $V$ with bipartition $V=V_{1} \cup V_{2}$ where $V_{1}=\left\{v_{1}, \ldots, v_{n}\right\}$ and $V_{2}=\left\{w_{1}, \ldots, w_{m}\right\}$. Then the following conditions are equivalent:
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The following picture shows a bi-CM bipartite graph for $n=4$.


Figure: A bi-CM bipartite graph.

A subset $F \subset[n]$ is called a clique of $G$, if $\{i, j\} \in E(G)$ for all $i, j \in F$ with $i \neq j$. The set of all cliques of $G$ is a simplicial complex, denoted $\Delta(G)$.

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Theorem.Let $G$ be a chordal graph on the vertex set $[n]$. The following conditions are equivalent:
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Theorem.Let $G$ be a chordal graph on the vertex set [ $n$ ]. The following conditions are equivalent:
(a) $G$ is a bi-CM graph;
(b) Let $F_{1}, \ldots, F_{m}$ be the facets of the clique complex of $G$ with a free vertex. Then $m=1$, or $m>1$ and
(i) $V(G)=V\left(F_{1}\right) \cup V\left(F_{2}\right) \cup \ldots \cup V\left(F_{m}\right)$, and this union is disjoint;

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(iii) the restriction of $G$ to $[n] \backslash\left\{j_{1}, \ldots, j_{m}\right\}$ is a clique.

The following picture shows, up to isomorphism, all bi-CM chordal graphs whose center is the complete graph $K_{4}$ on 4 vertices:


## Inseparable graphs

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When is a graph inseparable and what are the separable models of a graph?


Figure: A triangle and one of its inseparable models

## Generic Bi-CM graphs

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We now define the generic graph $G_{T}$ associated with $T$ whose vertex set is

$$
V\left(G_{T}\right)=\{(i, j),(j, i):\{i, j\} \text { is an edge of } T\}
$$

and with $\{(i, k),(j, I)\} \in E\left(G_{T}\right)$ if and only if there exists a path $P$ from $i$ to $j$ such that $k=b(i, j)$ and $I=e(i, j)$.



The generic graph of $T$.

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(c) Let $G$ be any $\mathrm{Bi}-\mathrm{CM}$ graph. Then there exists a tree $T$ such that $G_{T}$ is an inseparable model of $G$.

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(c) Let $G$ be any $\mathrm{Bi}-\mathrm{CM}$ graph. Then there exists a tree $T$ such that $G_{T}$ is an inseparable model of $G$.
(d) The finitely many trees $T$ for which $G_{T}$ is an inseparable model of $G$ can all be determined by considering the Alexander dual $I(G)^{\vee}$ of $I(G)$, and the relation trees of $I(G)^{\vee}$.

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As we noticed before, the Alexander dual $J=I(G)^{\vee}$ of the edge ideal of a bi-CM graph $G$ is a Cohen-Macaulay ideal of codimension 2 with linear resolution. The ideal $J$ may have several distinct relation matrices with respect to the unique minimal set of monomial generators of $J$.

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As shown in the paper "On multigraded resolutions" (Bruns-Herzog), one may attach to each of the relation matrices $A$ of $J$ a tree $\Gamma$, the so-called relation tree of $A$, as follows:

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Let $u_{1}, \ldots, u_{m+1}$ be the unique minimal set of monomial generators of $J$. Because $J$ has a linear resolution, the generating relations of $J$ may be chosen all of the form $x_{k} u_{i}-x_{l} u_{j}=0$. This implies that in each row of the $m \times(m+1)$-relation matrix $A$ there are exactly two non-zero entries (which are variables with different signs). We call such relations, relations of binomial type.

Consider the bi-CM graph $G$ on the vertex set [5] and edges $\{1,2\}$ $\{2,3\},\{3,1\},\{2,4\},\{3,4\},\{4,5\}$.


The ideal $J=I_{G}^{V}$ is generated by $u_{1}=x_{2} x_{3} x_{4}, u_{2}=x_{1} x_{3} x_{4}$, $u_{3}=x_{2} x_{3} x_{5}$ and $u_{4}=x_{1} x_{2} x_{4}$. The relation matrices with respect to $u_{1}, u_{2}, u_{3}$ and $u_{4}$ are the matrices

$$
A_{1}=\left(\begin{array}{cccc}
x_{1} & -x_{2} & 0 & 0 \\
x_{5} & 0 & -x_{4} & 0 \\
x_{1} & 0 & 0 & -x_{3}
\end{array}\right)
$$

and

$$
A_{2}=\left(\begin{array}{cccc}
x_{1} & -x_{2} & 0 & 0 \\
x_{5} & 0 & -x_{4} & 0 \\
0 & x_{2} & 0 & -x_{3}
\end{array}\right)
$$

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Conversely, we now define for any given tree $T$ on the vertex set [ $m+1$ ] with edges $e_{1}, \ldots, e_{m}$ the $m \times(m+1)$-matrix $A_{T}$ whose entries $a_{k l}$ are defined as follows:

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$$
a_{k l}= \begin{cases}x_{i j}, & \text { if } I=i \\ -x_{j i}, & \text { if } I=j \\ 0, & \text { otherwise }\end{cases}
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The matrix $A_{T}$ is called the generic matrix attached to the tree $T$.

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The matrix $A_{T}$ is called the generic matrix attached to the tree $T$.
By the Hilbert-Burch theorem, the matrix $A_{T}$ is the relation matrix of the ideal $J_{T}$ of maximal minors of $A_{T}$, and $J_{T}$ is a Cohen-Macaulay ideal of codimension 2 with linear resolution.

Naeem showed: the minors of $A_{T}$ (which are the generators of $J_{T}$ ) are the monomials

$$
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Hence $J_{T}^{\vee}=I\left(G_{T}\right)$ where $G_{T}$ is the generic graph defined before.
This shows that $G_{T}$ is a bi-CM graph.

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Theorem. (Altmann, Bigdeli, Dancheng Lu, H) The following conditions are equivalent:
(a) The graph $G$ is inseparable;
(b) $G^{(i)}$ is connected for all $i$.

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Finally one shows that any inseparable bi-CM graph is of the form $G_{T}$, and that all inseparable models of $G$ are the graphs $G_{T}$ with $T$ a relation tree of $I(G)^{\vee}$.

Problem 1. Which of the ideals $L(P, Q)$ is bi-CM?
Problem 2. Which of the polymatroidal ideals are bi-CM?
Problem 3. Which of the matroidal ideals are inseparable?

